



**NT Department of Business – Sports bookmaker; Annual audit
 AUSTRAC – AML/CTF; Regular, independent review**

ONE-STOP COMPLIANCE REVIEWS

AML Governance Associates Pty Ltd can provide both of these mandatory compliance reviews in a single comprehensive audit. Minimising costs in terms of both monetary and disruption.

Requirement	Suitability	Accreditation verification
<p>Information Security Management System Audit</p> <p><i>The gambling system’s information security management system will be independently audited, at the Licensee/Applicant’s expense, against Annex A of ISO / IEC 27001 on an annual basis.</i></p>	<p>AML Governance Associates is approved by the Northern Territory Department of Business to undertake evaluations and audits.</p>	
<p>Regular independent review of Part A</p> <p><i>Part A of the AML/CTF program must be independently reviewed at regular intervals and the reporting entity must ensure the independence of the reviewer...</i></p>	<p>Alan Pedley is authorised as an external auditor under subsection 164(1) of the <i>Anti-Money Laundering and Counter-Terrorism Financing Act 2006</i> (Cth).</p>	

We provide mandatory information security management systems (ISMS) audits and mandatory AML/CTF reviews. Our principal consultants have 20+ years’ experience with regulated online wagering systems – starting in the Northern Territory. We’re the natural fit for Territory wagering and Commonwealth AML compliance matters.

CONTACT

- Alan Pedley
- alan.pedley@aml-associates.com.au
- alanpedley
- +61-417-339-229
- www.facebook.com/al.pedley
- www.twitter.com/alanpedley
- <https://www.linkedin.com/in/alanpedley/>
- <https://docs.com/GovernanceAssociates>

aml governance associates

